

University of Pittsburgh
Project Checklist
Openness in Research

The principle of openness in research is one of overriding importance to the University of Pittsburgh. Accordingly, no program of research that requires secrecy may be conducted at Pitt.

Use this checklist when reviewing:

- Requests for proposals or project solicitations
- Program award notices
- Confidentiality/non-disclosure agreements (CDA/NDA)
- Any other documents related to research proposals, contracts, cooperative agreements, or other arrangements for sponsored research projects to assure that secrecy or unacceptable restrictions are not required

Does this project or agreement:

| | NO | YES | Specify Page/Article/Section |
|--|-----------|------------|-------------------------------------|
| 1 Contain language referring to or mandating compliance with export laws or regulations? | | | _____ |
| 2 Restrict researcher participation (faculty, student, others) based on country of origin or citizenship? | | | _____ |
| 3 Require research participation in US-citizen-only meeting? | | | _____ |
| 4 Prohibit the hiring of non-US citizens to be involved in the proposed research? | | | _____ |
| 5 Grant the sponsor a right of pre-publication review for matters other than inclusion of patent and/or proprietary sponsor information? | | | _____ |
| 6 Restrict disclosure of any part of the sponsoring, granting, or establishing documents or include classified research? | | | _____ |
| 7 Involve a foreign collaboration? | | | _____ |
| 8 Involve sharing of a material item with a party located outside the US? | | | _____ |

If accepting proprietary information as part of a project:

| | NO | YES | Specify Page/Article/Section |
|---|-----------|------------|-------------------------------------|
| 1 Is this information clearly defined as marked information supplied by the sponsor? | | | _____ |
| 2 Can the information be appropriately protected? | | | _____ |
| 3 Can proprietary information be removed from research results so that results may be freely published? | | | _____ |

If you have answered "Yes" to any of these questions, or if you have any questions related to openness in research please contact Jennifer E. Woodward, PhD, Vice Chancellor for Sponsored Programs and Research Operations at 412-624-7400.

Completed by: _____ Date: _____